

Receipt

#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Application of: CHAOXIN C. QIU ET AL.

Filed: OCTOBER 3, 2001

SYSTEMS AND METHODS FOR RESOURCE MONITORING
IN INFORMATION STORAGE ENVIRONMENTS

Serial No.: 09/970,452

Examiner: UNKNOWN

Atty Dkt: SURG:159

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

2-15-02
Date

Marty Boller
Name

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original.

1) In the Applicants section, please change the order of the Applicants, Chaoxin C. Qiu should be listed as the first inventor.

2) In the Domestic Priority Data as claimed by Applicant

Please delete "And Claims benefit of 60/187,211 3/3/00"

Please add the following:

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TECHNOLOGY CENTER R3700

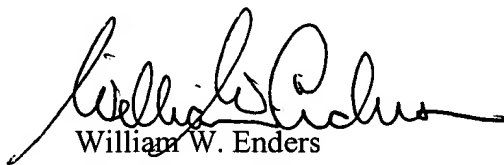
--And claims benefit of 09/879,810 6/12/01
And claims benefit of 09/797,198 3/1/01
And claims benefit of 09/797,201 3/1/01
And claims benefit of 09/797,200 3/1/01
Which claims benefit of 60/187,211 3/3/00--

A copy of the original filing receipt is attached, with the requested change(s) indicated in red.

Because the error is not due to any error by Applicant(s), no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the commission is hereby authorized to deduct said fee from Deposit Account 10-1205/SURG:159.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



William W. Enders
Reg. No. 41,735
Attorney for Applicant

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/970,452	10/03/2001	3753	831	SURG:159	8	64	3

CONFIRMATION NO. 3306

UPDATED FILING RECEIPT



William W. Enders
O'KEEFE, EGAN & PETERMAN
Building C, Suite 200
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Date Mailed: 01/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *Chaoxin C. Qiu, Austin, TX*
Umesh Gupta, Austin, TX;
Scott C. Johnson, Round Rock, TX;
Sarma Kolavasi, Austin, TX;
~~Chaoxin C. Qiu, Austin, TX;~~
Theodore S. Webb, Austin, TX;
Richard W. Yu, Cedar Park, TX;
Mark J. Conrad, Colorado Springs, CO;

Assignment For Published Patent Application
SURGIENT NETWORKS, INC.;

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Domestic Priority data as claimed by applicant

TECHNOLOGY CENTER R3700

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001
AND CLAIMS BENEFIT OF 60/246,445 11/07/2000
AND CLAIMS BENEFIT OF 60/246,359 11/07/2000
~~AND CLAIMS BENEFIT OF 60/487,211 03/03/2000~~
AND CLAIMS BENEFIT OF 60/246,401 11/07/2000

and claims benefit of 09/879,810 - 6/12/01
Foreign Applications " " 09/797,198 - 3/1/01
" " " 09/797,201 - 3/1/01
If Required, Foreign Filing License Granted 10/31/2001
" " " " 09/797,200 - 3/1/01

which claims benefit of 60/187,211 3/3/00

Projected Publication Date: 04/18/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Systems and methods for resource monitoring in information storage environments

Preliminary Class

137

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TECHNOLOGY CENTER R3700

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 3306

SERIAL NUMBER 09/970,452	FILING DATE 10/03/2001 RULE	CLASS 137	GROUP ART UNIT 3753	ATTORNEY DOCKET NO. SURG:159
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APPLICANTS

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Scott C. Johnson, Round Rock, TX;
Sarma Kolavasi, Austin, TX;
Theodore S. Webb, Austin, TX;
Richard W. Yu, Cedar Park, TX;
Mark J. Conrad, Colorado Springs, CO;

**** CONTINUING DATA *******

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001
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AND CLAIMS BENEFIT OF 60/187,211 03/03/2000
AND CLAIMS BENEFIT OF 60/246,401 11/07/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 10/31/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 8	TOTAL CLAIMS 64	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged _____ Examiner's Signature Initials				

ADDRESS

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TITLE

Systems and methods for resource monitoring in information storage environments

FILING FEE RECEIVED 831	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)

		<input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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